



「機構投資人盡職治理守則」遵循聲明

法商法國巴黎人壽保險股份有限公司台灣分公司(以下簡稱本公司)主要業務為人身保險業務，係屬「機構投資人盡職治理守則」所規定「機構投資人」中之「資產擁有人」。本公司聲明遵循「機構投資人盡職治理守則」，遵循聲明如下。

原則一 盡職治理政策

本分公司營運目標在於經營保險業務為客戶與股東共創雙贏，為達成此目標，本分公司的方針包含但不限於對客戶及股東的責任及履行的義務的揭露，還特別重視持續在環境保護、社會責任、公司治理的活動。

原則二 利益衝突管理政策

為確保本分公司基於客戶利益與股東權益執行相關業務，本集團訂有利益衝突管理政策包含相關利益衝突的類型及管理利益衝突的方法，更多關於本分公司利益衝突管理的詳細政策及處理方式的資訊，請到下方網址查詢本集團 Code of Conduct 第 3 節 Market Integrity 及第 4 節 Professional Ethics。

<https://group.bnpparibas/en/group/our-governance/compliance>

原則三 持續關注被投資公司

為確保本分公司取得充分且有效之資訊來評估被投資公司，以建立良好之投資決策基礎，針對被投資公司之相關新聞、財務表現、產業概況、經營策略、環境保護作為、社會責任與公司治理等議題，持續予以關注。

原則四 適當與被投資公司對話及互動

本分公司透過受託資產管理機構與被投資公司進行議合及互動，持續關注被投資公司於環境、社會及公司治理(ESG)等面向之風險與執行情形。受託機構依其盡職治理及ESG政策，透過電話會議、實體會議、電子郵件等方式與被投資公司進行溝通及議合。本分公司定期檢視受託機構提供之議合資訊及相關報告，瞭解被投資公司於氣候及環境變遷、永續金融及公司治理等議題之執行情形，並持續追蹤相關改善情形，以落實責任投資及盡職治理。



原則五 建立並揭露明確投票政策與投票情形

良好的公司治理是本分公司投資決策的重要考量標準。此一機制的落實包括於股東會中行使投票權。以下政策說明本分公司對被投資公司的期望，旨在創造長期價值：

- 非財務議題應與公司利益一致；
- 薪酬制度應與長期績效連結，包括非財務目標；
- 管理階層及監督機構應具備良好品質與獨立性；
- 保障股東權益。

提交表決的主要議案可分為以下五大類：

- 氣候相關議案及其他非財務考量事項；
- 財務報表及公司經營管理之核准；
- 薪酬政策；
- 股東權益一股權發行及股份買回計畫；
- 其他議案及股東提案。

原則六 定期揭露履行盡職治理之情形

本分公司將定期於公司網站彙報履行盡職治理之情形，包括本遵循聲明、出席被投資公司股東會與投票情形、盡職治理報告及其他重大事項。

原則七 服務提供者應提供可協助機構投資人履行盡職治理責任之服務

本分公司 114 年無股票投資，亦無投票顧問機構之議案投票建議，未來若有投資將行使被投資公司之股東會投票權，亦揭露投票顧問機構協助本分公司履行盡職治理責任之服務。

簽署人 法商法國巴黎人壽保險股份有限公司台灣分公司
民國 115 年 9 月 21 日



Compliance Statement on “Stewardship Principles for Institutional Investors”

BNP Paribas Cardif Assurance Vie, Taiwan Branch (hereinafter referred to as the “Company”) primarily engages in the life insurance business and is classified as an “asset owner” under the Stewardship Principles for Institutional Investors. The Company hereby declares its compliance with the Stewardship Principles for Institutional Investors as follows:

Principle 1 – Establish and Disclose a Stewardship Policy

The Company’s business objective is to operate insurance-related businesses and take care of policyholders’ interests. To achieve this objective, the Company’s approach includes, but is not limited to, fulfilling its responsibilities and obligations to customers and shareholders, with particular emphasis on environmental protection, social responsibility, and corporate governance.

Principle 2 – Establish and Disclose Policies on Managing Conflicts of Interest

To ensure that the Company performs its duties in the interests of customers and shareholders, the Group has established a Conflict of Interest Management Policy, which includes the identification and management of relevant conflicts of interest. For further information regarding the Company’s conflict of interest management assessment policies and handling procedures, please refer to Section 3, “Market Integrity,” and Section 4, “Professional Ethics,” of the Group Code of Conduct: <https://group.bnpparibas/en/group/our-governance/compliance>

Principle 3 – Regularly Monitor Investee Companies

To ensure that the Company obtains sufficient and effective information to assess investee companies and establish a sound basis for investment decisions, the Company continuously monitors relevant news, financial conditions, industry developments, business strategies, environmental protection practices, social responsibility, and corporate governance issues of investee companies.

Principle 4 – Maintain an Appropriate Dialogue and Interaction with Investee Companies

Through its appointed asset management institution, the Company engages and interacts with investee companies and continuously monitors their risks and performance in environmental, social and governance (ESG) matters.



The appointed institution implements stewardship and ESG policies and communicates and engages with investee companies through telephone conferences, face-to-face meetings, emails and other means.

The Company regularly reviews relevant engagement information provided by the appointed institution and monitors the performance of investee companies in climate and environmental issues, social responsibility, and corporate governance, while continuously following up on relevant engagement matters to fulfill its stewardship responsibilities and strengthen investment management.

Principle 5 – Establish and Disclose Clear Voting Policies and Voting Results

Sound corporate governance is an important consideration in the Company's investment decisions. This mechanism is implemented through the exercise of voting rights at shareholders' meetings. The following policies set out the Company's expectations for investee companies, with the objective of creating long-term value:

- Non-financial matters should be aligned with the interests of the company;
- Remuneration policies should be linked to long-term performance, including non-financial objectives;
- Management and supervisory bodies should possess sound quality and independence;
- Shareholders' rights should be protected.

Major proposals submitted for voting may be classified into the following five categories:

- Climate-related proposals and other non-financial matters;
- Approval of financial statements and company management;
- Remuneration policies;
- Shareholder rights – share issuance and share repurchase programs;
- Other proposals and shareholder proposals.

Principle 6 – Periodically Disclose the Fulfillment of Stewardship Responsibilities

The Company will periodically disclose on its official website information regarding the fulfillment of its stewardship responsibilities, including this Statement of Compliance, attendance and voting at shareholders' meetings, the Stewardship Report, and other significant matters.



BNP PARIBAS CARDIF

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Principle 7 – Service Providers Should Provide Services that Assist Institutional Investors in Fulfilling Their Stewardship Responsibilities

In 2025, the Company did not invest in equities and did not engage any proxy advisory or proxy research institutions. Therefore, the services described under this Principle were not applicable.

Signed by: BNP Paribas Cardif Assurance Vie, Taiwan Branch
September 21, 2026